

ASIC update: Pursuing the best outcomes

Presented by

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ASIC
Australian Securities &
Investments Commission

IIA- Australia

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ASIC's vision is for a fair,
strong and efficient financial
system for all Australians

Our regulatory mission is to:



Change behaviours to drive good consumer and investor outcomes



Act against misconduct to maintain trust and integrity in the financial system



Promote the strong and innovative development of the financial system



Help Australians to be in control of their financial lives

Identifying and addressing

 risk in

⊕ Conduct

⊕ Breach reporting

⊕ Mis-selling

The importance of
trust in
meeting community
expectations



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